



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	)		
Tilleman et al.	) Group Art Unit: 2872		•
Serial No. 10/003,146	) Examiner: Unassigned		
Filed: November 15, 2001	) Confirmation No. 5949		
For: "OPTICAL CONVERTER WITH A DESIGNATED OUTPUT WAVELENGTH	Ň	<b>7</b> >	∜ <sup>*</sup> R
INFORMATION DISCLOSURE STATEMENT		APR 25 2	RECEIVED
Commissioner for Patents Washington, D.C. 20231	NEEDLE & ROSENBERG, P.C. Suite 1200, The Candler Building	2002	Ö

April 17, 2002

127 Peachtree Street, N.E. Atlanta, Georgia 30303-1811

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying form PTO 1449 is a listing of documents known to the applicants and/or their attorneys. Copies of these documents are enclosed.

Consideration of the cited documents and making the same of record in the prosecution of the above-noted application are respectfully requested

ATTORNEY DOCKET NO.: 20129.0014U2

**SERIAL NO.: 10/003,146** 

No fee is believed due pursuant to 37 C.F.R. § 1.97(c)(1). However, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

Lawrence D. Maxwell

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date listed below.

Lauranaa D. Maxwall

4-17-02

Date



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 7-80) PATENT AND TRADEMARK OFFICE

**ATTORNEY DOCKET NO.: 20129.0014U2** 

**SERIAL NO.** 10/003,146

APPLICANT: Tilleman et al.

LIST OF PRIOR ART CITED BY APPLICANT FILING DATE: November 15, 2001 **GROUP: 2872** (Use several sheets if necessary) **U.S. PATENT DOCUMENTS EXAMINER DOCUMENT CLASS SUBCLASS** FILING DATE DATE NAME INITIALS NO. IF APPROPRIATE 6,317,529 B1 Α1 11/13/01 Kashima 6,288,807 B1 09/11/01 Wu et al. A2 07/19/01 АЗ 20010008457A1 Zhang A4 6.259,835 B1 07/10/01 Jing **A5** 6,222,954 B1 04/24/01 Riza Α6 6,205,267 B1 03/20/01 Aksyuk et al. Α7 6,154,587 11/28/00 Okayama 6,097,859 08/01/00 Solgaard et al. Α9 07/11/00 Dickensheets et al. 6,088,145 A10 6.075,239 06/13/00 Aksyuk et al. A11 5,937,117 08/10/99 Ishida et al. A12 5,872,880 02/16/99 Maynard A13 5,715,337 02/03/98 Spitzer et al. 10/10/95 5,457,556 A14 Shiragaki 04/18/95 Wong A15 5,408,556 **FOREIGN PATENT DOCUMENTS** OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) A16 Bishop et al. Micromirrors Relieve Communications Bottlenecks. Photonics Spectra 167-169 (2000) A17 Press Release: OMM MEMS-based Optical Switches Pass Rigorous Telcordia Requirements. www.omminc.com (July 16,



Press Release: A World's First for Optical Switching: Live Data Traffic Switched by MEMS-bsaed Optical Switch A18 Subsystems Delivered by OMM Inc. www.omminc.com (March 26, 2000) Press Release: Optical switches delivered by Optical Micro Machines Inc. (OMM) at the core of Siemens' TransxpressTM A19 optical service node. Siemens demonstrates optical switching at CeBIT 2000 using optical switching subsystems from OMM Inc. www.omminc.com (March 7, 2000) A20 Press Release: Revolutionary MEMS Optical Switch Slated to Become the Heart of Optical Communications Systems. www.omminc.com (December 9, 1999) A21 Press Release: OMM Focuses Market Position on Mid-sized MEMS Photonic Switch Modules and Subsystems. www.omminc.com (July 27, 2001) A22 website: www.omminc.com, discovered on August 8, 2001, however available maybe as early as February 2000 DATE CONSIDERED: **EXAMINER:** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.